

DOCKET NO.: IOME-0361/P0734

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Isaacson, S.R. et al.

Serial No.: 09/891,441

Filing Date: June 25, 2001

For: METHOD AND SYSTEM FOR PROVIDING SECURE DIGITAL MUSIC
DUPLICATION

Confirmation No.: 3684

Group Art Unit: 2122

Examiner: Not Yet Assigned

DATE OF DEPOSIT: 3/14/03

I HEREBY CERTIFY THAT THIS PAPER IS BEING
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Thomas E. Watson

TYPED NAME: Thomas E. Watson
REGISTRATION NO.: 43,243

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

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MAR 19 2003

Technology Center 2100

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☒ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in § 1.491, before the mailing date

of a first Office Action on the merits of the above-identified application, or
before the mailing date of a first Office Action after the filing of request for
continued examination under § 1.114, no additional fee is required.

☐ In accordance with § 1.129(a), this Information Disclosure Statement is being
filed in connection with ☐ the first or ☐ second After Final Submission,
therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☐ The fee of **\$180.00** as set forth in § 1.17(p) is attached.

☐ In accordance with § 1.97(c), this Information Disclosure Statement is being
filed after the period set forth in § 1.97(b) above but before the mailing date of
either a Final Action under § 1.113 or a Notice of Allowance under § 1.311, or
before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with § 1.97(e) is attached;
or

☐ The fee of **\$180.00** as set forth in § 1.17(p) is attached.

☐ In accordance with § 1.97(d), this Information Disclosure Statement is being
filed after the mailing date of either a Final Action under § 1.113 or a Notice
of Allowance under § 1.311 but before, or simultaneously with, the payment
of the Issue Fee, therefore included are: Certification in Accordance with §
1.97(e); and the submission fee of **\$180.00** as set forth in § 1.17(p).

☒ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith

EXCEPT THAT:

☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

☐ In accordance with § 1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. § 120 have been made in the instant application:

☐ Copies of references [list as appropriate] listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior application Serial No. , filed .

☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-_____

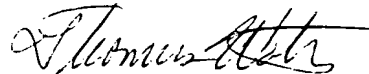
3050. This form is submitted in duplicate.

Enclosed is a copy of the PCT International Search Report **January 28, 2003**, which indicates the references to be relevant.

There are no listed references which are not in the English language.

Date:

3/14/03



Thomas E. Watson

Registration No. 43,243

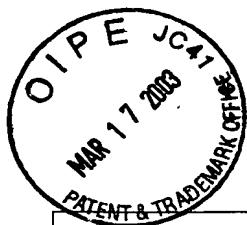
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Sheet 1 of 2

Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office		Docket No. IOME-0361/P0734	Serial No. 09/891,441
		Applicant Isaacson, S.R. et al.	
		Filing Date June 25, 2001	Group 2122
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
	1	Hartung, F. et al., "Digital Rights Management and Watermarking of Multimedia Content for M-Commerce Applications", <i>IEEE Communications Magazine</i> , 2000, 38(11), 78-84, XP-000969744	
EXAMINER		DATE CONSIDERED	



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	Applicant Isaacson, S.R. et al.	
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U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
	2	5,805,699	09/08/98	Akiyama, et al.	380	4
	3	6,282,654 B1	08/28/01	Ikeda, et al.	713	200

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	4	EP 0 813 194 A2	12/17/97	EPO		
	5	EP 0 984 346 A1	03/08/00	EPO		
	6	EP 1 032 543 A2	09/13/00	EPO		
	7	WO 99/55055	10/28/99	PCT		
	8	JP 11 073 725	03/16/99	Japan	X(Abstract)	

EXAMINER

DATE CONSIDERED